



Packet No.: 98-1160

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Gary E. Sullivan, et al.

: By the Examiner:

Serial No.: 10/618,867

Filed: July 14, 2003


: Group Art Unit:

Title: METHOD AND APPARATUS FOR PROVIDING INFORMATION IN VIDEO
TRANSITIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on September 9, 2003, in a First Class envelope, with sufficient postage thereon, addressed to: MS Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Penny L. Flint

DATED: September 9, 2003

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of these items on PTO-1449 is supplied herewith.

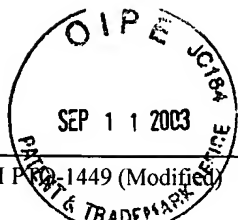
DATED: September 9, 2003.

Respectfully submitted,
Gary E. Sullivan et al.,
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In Place of FORM P-1449 (Modified)

Serial No.: 10/618,867
 Applicant: Gary E. Sullivan, et al.
 Filing Date: July 14, 2003
 Group:
 Atty. Docket No.: P1544US01

**LIST OF PATENTS AND PUBLICATIONS FOR
 APPLICANT'S INFORMATION DISCLOSURE
 STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	6,067,092	05/23/00	Rinaldi et al.	345	435	07/24/97
ABA	6,061,099	05/09/00	Hostetler	345	584	10/14/97
ACA	6,025,870	02/15/00	Hardy	395	15	10/14/97
ADA	5,898,441	04/27/99	Flurry	345	507	06/16/95
AEA	5,889,560	03/30/99	Lee	348	402	10/15/96
AFA	5,881,245	03/09/99	Thompson	395	200.49	09/10/96
ACA	5,870,087	02/09/99	Chau	345	302	11/13/96
AHA	5,867,208	02/02/99	McLaren	348	13	10/28/97
AIA	5,864,682	01/26/99	Porter et al.	395	200.77	05/21/97
AJA	5,859,660	01/12/99	Perkins et al.	348	9	02/29/96
AKA	5,844,623	12/01/98	Iwamura	348	553	09/27/96
ALA	5,844,594	12/01/98	Ferguson	348	10	10/05/95
AMA	5,841,472	11/24/98	Rim et al.	348	390	11/20/95

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ANA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

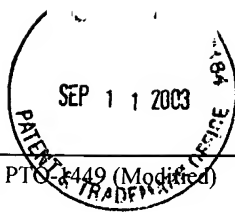
Initial

___ AOA MPEG Toolbox-2 - <http://www.vitecmm.com/mtb2.htm> - 04/06/99 2:40pm
 ___ APA MediaWare Home and Office Solutions - http://www.mediaware.com.au/home_market.html - 04/06/99 3:04pm
 ___ AQA What's so great about MPEG? - http://www.daikin-comtec.com/white_papers/wp_mpeg.html - 04/06/99 2:47pm

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



In Place of FORM PTO 449 (Modified)

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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	5,838,597	11/17/98	Pau et al.	364	715.02	12/03/96
ABA	5,818,530	10/06/98	Canfield et al.	348	400	06/19/96
ACA	5,818,512	10/06/98	Fuller	348	8	04/04/97
ADA	5,805,173	09/08/98	Glennon et al.	345	501	10/02/95
AEA	5,805,148	09/08/98	Swamy et al.	345	189	12/06/95
AFA	5,802,394	09/08/98	Baird et al.	395	825	06/06/94
AGA	5,801,786	09/08/98	Song	348	564	05/15/96
AHA	5,801,782	09/01/98	Patterson	348	473	03/21/96
AIA	5,796,871	08/18/98	Kawamura et al.	382	236	01/18/96
AJA	5,786,864	07/28/98	Yamamoto	348	473	03/06/96
AKA	5,786,845	07/28/98	Tsuria	348	9	11/13/95
ALA	5,781,227	07/14/98	Goode et al.	348	7	10/25/96
AMA	5,778,096	07/07/98	Stearns	382	233	06/12/95

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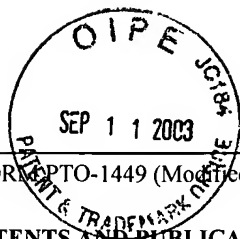
Initial

___ AOA MediaWare builds MPEG reputation - <http://www.mediaware.com.au/pr06.html> - 04/06/99 3:08 pm
 ___ APA "Spin-off Exports Video Tools" - <http://www.mediaware.com.au/pr04.html> - 04/06/99 03:11 pm
 ___ AQA CSIRO Spinoff Firm in World Market Drive - <http://www.mediaware.com.au/pr05.html> - 04/06/99 3:16 pm

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AAA	5,734,443	03/31/98	O'Grady	348	705	12/28/95
ABA	5,731,846	03/24/98	Kreitman et al.	348	581	01/18/96
ACA	5,724,103	03/03/98	Batchelor	348	553	11/13/95
ADA	5,724,091	03/03/98	Freeman et al.	395	13	05/18/95
AEA	5,694,332	12/02/97	Maturi	364	514	12/13/94
AFA	5,666,548	09/09/97	Grimm et al.	395	800.01	12/20/94
AGA	5,644,364	07/01/97	Kurtze et al.	348	584	03/06/95
AHA	5,640,320	07/17/97	Jackson et al.	364	192	05/18/95
AIA	5,598,222	01/28/97	Lane	348	584	04/18/95
AJA	5,559,562	09/24/96	Ferster	348	584	11/01/94
AKA	5,557,724	09/17/96	Sampat et al.	395	157	10/12/93
ALA	5,534,942	07/09/96	Beyers, Jr. et al.	348	569	02/15/95
AMA	5,493,638	02/20/96	Hooper et al.	395	135	10/13/94

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Examiner
 Initial

AOA Hitachi MPEG-Camera - <http://www.netsucces.nl/gadget/hitachi/index.html> - 04/06/99 3:27 pm
 APA MPEG-4 Binary Format for Scene: Mixing 2D and 3D interactive graphics with audio visual streams - <http://www.eurecom.fr/~image/TRAI/VI/GT-RV-6/Programme/E9.html> - 04/06/99 3:34 pm

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Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	5,452,023	09/19/95	Kim	348	731	12/03/93
ABA	5,422,674	06/06/95	Hooper et al.	348	409	12/22/93
ACA	5,404,316	04/04/95	Klingler et al.	364	514	08/03/92
ADA	5,375,203	12/20/94	Lambidakis	395	162	02/14/94
AEA	5,311,317	05/10/94	Ogura et al.	340	725	04/14/92
AFA	5,155,591	10/13/92	Wachob	358	86	10/23/89
AGA	4,974,085	11/27/90	Campbell et al.	358	181	05/02/89
AHA	4,774,582	09/27/88	Hakamada et al.	358	183	12/19/86
AIA	3,943,280	03/09/76	Kimura et al.	175	7.5 R	01/09/73
AJA						

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Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
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